

Item 9 - Enforcement Report



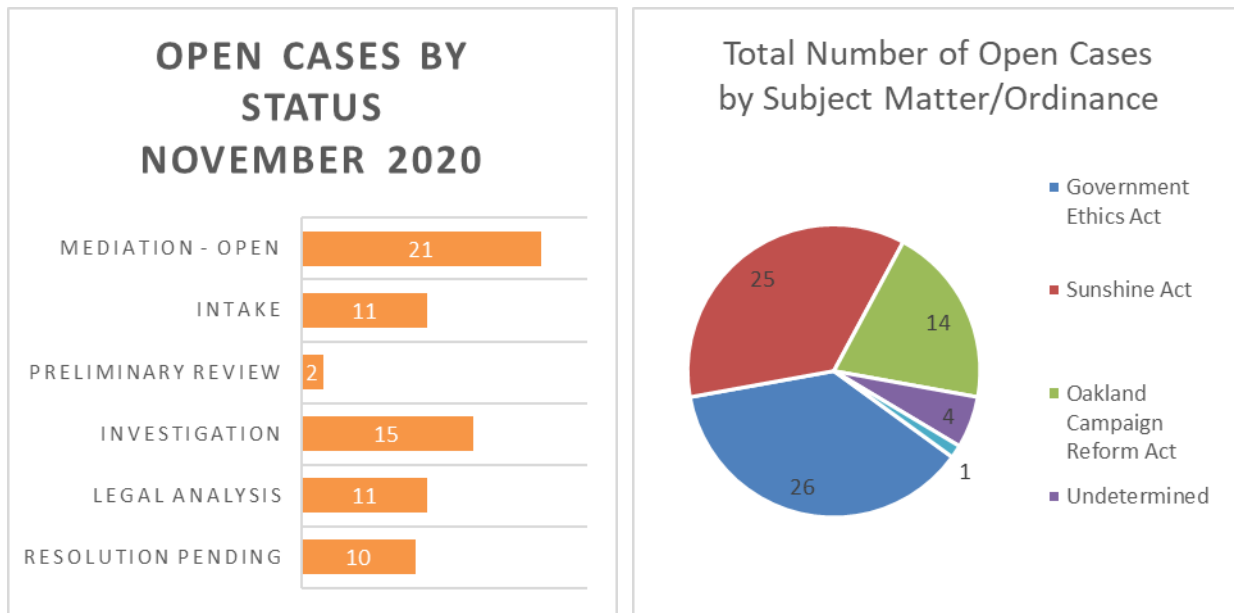
James E.T. Jackson, Chair
Jill M. Butler, Vice-Chair
Avi Klein
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Joseph Tuman
Jerett Yan

Whitney Barazoto, Executive Director

TO: Public Ethics Commission
FROM: Kellie Johnson, Enforcement Chief
DATE: November 24, 2020
RE: Enforcement Program Update for the December 7, 2020, PEC Meeting

Current Enforcement Activities:

Since the last Enforcement Program Update on November 2, 2020, Commission staff received 2 complaints. This brings the total Enforcement caseload to 70 enforcement and 21 mediation cases: 13 matters in the intake or preliminary review stage, 15 matters under active investigation, 11 matters under post-investigation analysis, 10 matters in settlement negotiations or awaiting an administrative hearing, and 21 ongoing public records request mediations.



- Please note the pie chart of "Open Cases by Subject Matter " now includes the delinked category of Sunshine Act cases.

Summary of Cases:

Since the last Enforcement Program Update in November 2020, there is one status change to report.

- 1. *In the Matter of the City of Oakland Department of Human Services (Case No. M2017-12).*** On June 1, 2017, the Commission received a request for mediation from the Requestor that alleged that the City of Oakland Department of Human Services failed to provide responsive documents to a public records request. The Requestor initiated his public records request on April 25, 2017, seeking copies of all Measure Z and Measure D grant proposals from 2012-2019, consisting of roughly 450 proposals, each with dozens of attachments. Commission staff initiated the mediation process on June 5, 2017, and continued to mediate through April 19, 2019, when the Department provided the final batch of responsive documents to the Requestor. Staff recommends that the Commission close the mediation without further action. (See Action Items)