

Item 9 - Disclosure Report



Arvon J. Perteet, Chair
Michael B. MacDonald, Vice-Chair
Charlotte Hill
Jessica Leavitt
Ryan Micik
Joe Tuman

Whitney Barazoto, Executive Director

TO: Public Ethics Commission
FROM: Suzanne Doran, Lead Analyst
Jelani Killings, Ethics Analyst
Whitney Barazoto, Executive Director
DATE: April 1, 2022
RE: Disclosure and Engagement Monthly Report for the April 13, 2022, Meeting

This memorandum provides a summary of major accomplishments in the Public Ethics Commission's (PEC or Commission) Disclosure and Engagement program activities since the last monthly meeting. Commission staff disclosure activities focus on improving online tools for public access to local campaign finance and other disclosure data, enhancing compliance with disclosure rules, and conducting data analysis for PEC projects and programs as required. Engagement activities include training and resources provided to the regulated community, as well as general outreach to Oakland residents to raise awareness of the Commission's role and services and to provide opportunities for dialogue between the Commission and community members.

Compliance with Disclosure Requirements

Campaign finance disclosure – The committees listed below failed to submit campaign statements for the January 31, 2022, deadline and have been referred to the Fair Political Practices Commission for enforcement:

Committee	Treasurer	Candidate
CHERISSE GASH FOR OAKLAND SCHOOL BOARD DISTRICT 3 2020	HARPER, SHAUNA	GASH, CHERISSE
ANNIE CAMPBELL WASHINGTON 2014 OFFICEHOLDER COMMITTEE	CAMPBELL WASHINGTON, ANNIE	CAMPBELL WASHINGTON, ANNIE
ANNIE CAMPBELL WASHINGTON FOR OAKLAND CITY COUNCIL 2018	CAMPBELL WASHINGTON, ANNIE	CAMPBELL WASHINGTON, ANNIE
MOVE OAKLAND FORWARD NOW	JUAREZ, MARIO R	
MIKE HUTCHINSON FOR SCHOOL BOARD 2016	HUTCHINSON, HARRIET	HUTCHINSON, MICHAEL

In addition to enforcement referrals, the committee names and controlling officers will be published on the Commission website until the required statements are submitted or 24-months have passed since the missed deadline.

Two committees were assessed late filing fees for the January 31, 2022, deadline: Jordan for Mayor 2022 and Seneca Scott for Oakland 2020.

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A Special Election has been scheduled for June 7, 2022, to consider a ballot measure that would extend the Library Services Retention and Enhancement Act. Political committees with activity related to the June 7 Special Elections must submit pre-election campaign statements on April 28 and May 26.

Campaign statements are available to view and download at the PEC’s [Public Portal for Campaign Finance Disclosure](#).

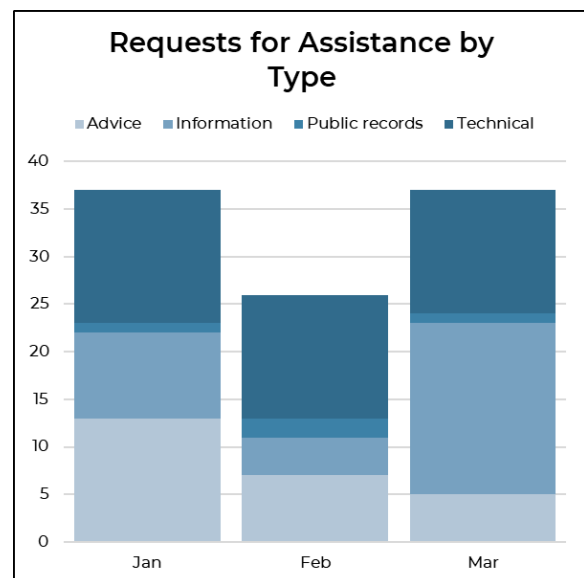
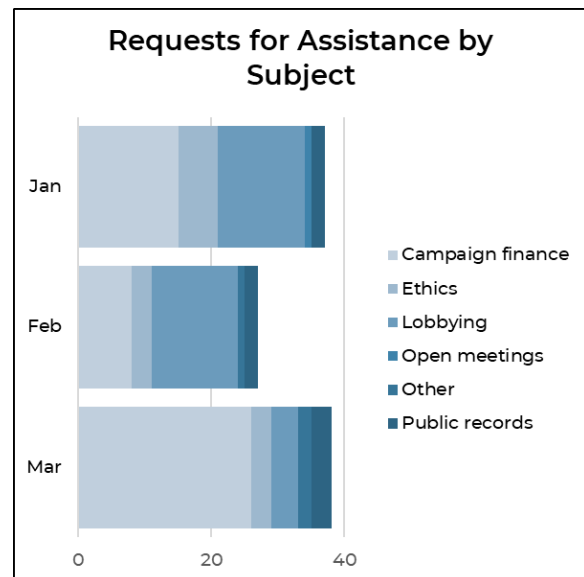
Lobbyist disclosure – The Oakland Lobbyist Registration Act (LRA) requires lobbyists to submit quarterly reports disclosing their lobbying activities to ensure that the public knows who is trying to influence City decisions. April 30 is the next deadline for quarterly lobbyist activity reports covering the period from January 1 through March 31, 2022. An up-to-date list of registered lobbyists and lobbyist activity reports with links to view and download individual reports is available at the PEC’s [Lobbyist Dashboard and Data](#) webpage.

Advice and Engagement

Advice and Technical Assistance – In March, Commission staff responded to 37 requests for information, advice or assistance regarding campaign finance, ethics, Sunshine law, or lobbyist issues, for a total of 102 requests in 2022.

Candidates and Campaigns – On March 25, staff issued an advisory regarding rules specific to ballot measure committees to inform treasurers and committees about state and local disclosure requirements.

Candidate and Treasurer Training – On March 30, staff conducted a joint candidate and treasurer training with representatives from the California Fair Political Practices Commission (FPPC). The 11 attendees received a two-hour training on the CA Political Reform Act and the Oakland Campaign Reform Act. Topics covered included campaign forms, committee IDs, campaign bank accounts, recordkeeping, contribution rules, and advertising disclosures. The training was recorded and added to the PEC’s website as a resource available for new candidates and committees. Treasurers who complete the training and pass a post-training quiz will be posted on the Commission website.



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Form 700 Filer Training – On March 15, PEC staff conducted a live Government Ethics Training for Form 700 Filers via Zoom. The training was hosted by the Department of Human Resources (HR) and served as an alternative for employees that have not completed the PEC’s online training. Approximately 35 employees attended the training.

New Employee Orientation – Staff continues to make presentations at the City’s monthly New Employee Orientation (NEO) providing new employees with an introduction to the PEC and overview of the Government Ethics Act (GEA). On March 16, new employees watched the PEC’s 10-minute GEA Introductory Video.

Ethics Onboarding and Exit Process – In March, Commission staff completed migration of all mandatory ethics training materials and administrative instructions to the City’s new NEOGOV Learn platform. The new platform allows training assignment, notices to employees, and compliance tracking. In addition, a joint reminder with the PEC was sent from the Human Resources Department to department directors and SPOCs directing them to remind their Form 700 filers of the mandatory ethics training requirement and upcoming Form 700 annual deadline. Staff continued collaborating with Human Resources staff to add automated ethics onboarding and exit checklists and compliance tracking tools into the NEOGOV system. Follow-up to evaluate the process updates will take place after the April onboarding cycle concludes.

Limited Public Financing Act Guide – Commission staff updated the PEC’s comprehensive guide to the Limited Public Financing Act to reflect adjusted application deadlines, contribution and voluntary spending limits, and qualifying thresholds for the 2022 election. In addition, staff updated the 2022 LPF application process so that the required application and reimbursement forms may be completed online, and the required documentation submitted electronically.

Online Engagement

Social Media – Each month Commission staff post social media content to highlight specific PEC policy areas, activities, or client-groups. In March, our posts focused on publicizing the PEC’s 2022 accomplishments and training and education resources for candidates and treasurers.