

Department of Transportation Engineering Services – 4th Floor 250 Frank H. Ogawa Plaza, Oakland, California 94612

APPLICATION FOR UTILITY COMPANY EXCAVATION PERMIT

Do Not Cut Into Pavement Until Ready To Complete. No Trenching in Moratorium Streets.	All applicants must schedule PRE-CON meeting forty-eight (48) hours prior to start by emailing	
Location of Work:and	EBMUD: EBMUDInspections@oaklandnet.com	
(Valid Street Address Required) (Street) (Street)	PG&E: <u>PGEInspections@oaklandnet.com</u>	
Description of Work:	• All Others: <u>TelecomInspections@oaklandnet.com</u>	
	• Joint Trench Call: 510-238-3778	
Related Permit #:	Approvals – Permit Issuance City Use Only	
Job Project # Trench Dimensions: L' x W': No. of Services:		
	X 2 <u>2</u> 0	
When approved, permission to excavate in the Public Right-of-Way will be granted to:	2022	
Company Name: Phone No	E N M I 2 2	
Address:	Holiday Restricted Street? Yes No (1 NOV – 1 JAN)	
Contact Demons	Limited Operation Area? □ Yes □ No (7AM – 9AM/ 4PM-6PM)	
Contact Person:	Street Last Resurfaced on: Date:	
Email:Plan Start/End Dates:	Moratorium Street/Special Paving Detail Required? □Yes □No	
24-Hour Emergency Phone: Permit Not Valid and Cannot Be Issued Without This Phone	Right-of-Way Questionnaire Required? □Yes □No	
*Note: Encroachment permit required when doing antennas, above/below ground cabinets, or other structures	R/W Questionnaire Submitted □Yes □No	
ATTENTION APPLICANT: This permit issued pursuant to all provisions of Chapter 12.12 of the Oakland Municipal Code and is valid ninety (90) days for	Engineering Services: Date	
date issued. Extension may be granted by Director of Public Works	Planning Zoning: Date	
□ Inspection costs and additional inspection hours will be charged per the current Master Fee Schedule	Field Services: Date	
□ As- Built drawings required. □ Performance Bond Required. Bond Submitted? □Yes □ No	Construction: Date	
Bond Amount \$ Bond Number Bonding Co:	Traffic Engineering:Date	
	TSD Invoice Paid?: 🗆 Yes 🗖 No	
State law requires that contractor/owner call Underground Service Alert, USA , two working days before excavating to have below ground utilities located. This permit is not valid unless applicant has secured a USA Inquiry Identification Number. Call: 811 or visit <u>www.usanorth811.org</u>	Electrical Engineering:Date	
USA ID NO.	City Clerk: Date	
This Permit is granted upon the express condition that the permittee shall be responsible for all claims and liabilities arising out of work performed under the permit or	IT office: Date	
arising out of the permittee's failure to perform the obligations with respect to street maintenance. The permittee shall, and by acceptance of the permit, agrees to	Other required Permits: Obstruction Creek Protection	
defend, indemnify, save and hold harmless the City, its officers and employees, from and against any and all suits, claims or actions brought by any person for or on account of any bodily injuries, disease, or illness or damage to persons and/or property sustained or arising in the construction of the work performed under the permit	□ Building □Other	
or in consequence of permittee's failure to perform the obligations with respect to street maintenance.	Director of Public Works	
I hereby affirm that I am licensed under provisions of Chapter 9 (commencing with Section 7000) of Division 3 of the Business and Professions Code, and my license is in full force and effect.		
□ State License No. & Class: □ City Business License Number:	Approved By:Date	
I also certify that in the performance of the work in which this permit is issued, I shall adhere to requirements of Workers' Compensation Laws of California , with all federal, state and local regulations, and the conditions shown on the reverse side of this document.	Extended By: Date	
X ***Continue on Reverse***	Work Completed: Date	
Signature of Owner/Agent Date	Mork Completed Date	



Conditions for use of City of Oakland's Right of Way

- 1. Installation of telephone lines and provision of telephone services shall be pursuant to Sec. 7901 of the California Public Utilities Code.
- 2. Permittee is not authorized to place any other facilities or provide any services over the facilities placed in the rights-of-way other than telephone lines as described above, without first obtaining authorizations from the City of Oakland, including any necessary franchises, except where state law preempts local authority to franchise. By way of example and not limitation, Permittee may not install cable system or open video system facilities without first obtaining a franchise.
- 3. Permittee is not authorized to install facilities on any other public property other than rights-of-way, and any use of other public property shall require separate agreement.
- 4. Permittee shall comply with any provision that the City of Oakland may adopt in the future requiring it to obtain a franchise or other authorization and may be required to do so as a condition to the continued effectiveness of the permit, provided that nothing in this agreement shall be construed to prevent Permittee from claiming that a particular requirement is prohibited by applicable law.
- 5. If state or federal law does not prohibit municipality from charging for use of rights-of-way by Permittee, it may do so, and payment of any lawful compensation established by City of Oakland shall be a condition of the continued effectiveness of the permit.
- 6. Permittee warrants that the services it will offer over the telephone lines consist solely of telephone service within the meaning of Section 7901.
- 7. Permittee shall abide by all City of Oakland requirements for indemnification and insurance.
- 8. Conditions do not create or vest in permittee any property interest.
- 9. Permittee warrants that it will promptly notify the City of Oakland of any company to which it is selling, leasing, or otherwise transferring facilities or capacity, and agrees not to sell, lease, or otherwise transfer facilitates or capacity to any company that is required by state or federal law obtain franchise or other authorization from municipality without proof that such company has obtained the necessary authorization or franchise.
- 10. The City of Oakland retains police powers with respect to time, place and manner of placement and relocation of facilities within the public right-of-way.
- 11. Permittee agrees to comply with any future changes in State or Federal laws that pertain to the telephone/telecommunications industry and the City of Oakland reserves the right to impose any changes in the law at such future time.
- 12. Permittee will submit Quarterly Construction reports as required by the Public Utilities Commission.
- 13. Permittee warrants that in the event any telephone facilities approved by the City of Oakland pursuant to this permit are at an time during the usable life of the equipment or facilities utilized for purposes other than providing telephone service as defined by the California Public Utilities Code, including but not limited to the transmission of a cable system equivalent or open video or data transmission, Permittee agrees to first obtain the necessary authorization or franchise for providing such service over said existing facilities.
- 14. In addition to Permittee processing fees associated with the issuance of the herein permit, Permittee is subject to inspection fees for the cost of determining compliance with the herein permit requirements. Additional re-inspection fee charges may be assessed as necessary to assure ongoing compliance with permit requirements.
- 15. Permittee agrees to notify City of Oakland of any changes to the plans submitted with the excavation and all related permit applications prior to constructing such change(s).
- 16. Permittee shall be a member of USA and shall be responsible for notifying USA prior to excavations.
- 17. At least 48 hours prior to excavation, Permittee shall notify the City of Oakland's Electrical Division at (510) 615-5438 and Telecommunications Systems Engineer at (510) 238-6900.
- 18. Permittee is required to adhere to all herein conditions as a condition of the continued effectiveness of the permit. Failure or refusal to comply shall subject the Permittee to all civil penalty provisions.
- 19. Additional permit conditions may be required as a matter of policy at any time commencing from the issuance of the permit up until the permit is "finalized" by the City of Oakland.
- 20. For as long as Permittee maintains facilities within the public right-of-way, Permittee is subject to an further licensing and/or leasing requirements imposed by the City of Oakland for such use.

 Print Name
 Title

agree to the above Conditions



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UTILITY COMPANY EXCAVATION PERMIT						
SUBMITTAL CHECK LIST						
□ 4 Sets of Plans; maximum size: 11"x17"						
□ All underground utility lines; depth of trench; north arrow; vicinity map; project number						
Distance from face of curb to trench, If no curb, dimension to a monument line or sanitary sewer main and stake for construction; total trench footage (conduit or cable)						
Distances from improvement to underground utility line. Two (2) foot minimum separation; maintain five (5) feet from any sanitary and storm sewer structure; maintain five (4) foot radius from all survey monuments. Monument Information available at Records Counter , 250 Frank H. Ogawa Plaza, 2 nd floor.						
 Distance from driveways on all new or replacement utility pole installations. Minimum distance: 30 inches 						
Above and below ground structures/cabinets provide a spreadsheet listing specifications (if needed)						
Completed Utility Excavation and Encroachment Permit Application						
Inspection Deposit Account						
□ Traffic Control Plan and Application						
Bond						
Current City of Oakland Business						
Contractor License						
Letter of Agency (if required)						
Liability Insurance						



FREQUENTLY ASKED QUESTSIONS (FAQ) UTILITY EXCAVATION

1. When is a Utility excavation Permit Required?

Answer: This permit is for excavation in the right of way by utility companies or franchisees and contractors that work with utility companies with an approved utility plans.

2. When is an Encroachment Permit Required?

Answer: An encroachment permit is only required if the utility company is installing an above or below ground structures/cabinet or antenna. Applicant should provide a list of equipment and specifications (dimensions, location, reference to page of drawing, etc.) for City to consider and issue an encroachment permit. The application form covers Utility Excavation and Encroachment permits and there is no need to fill out two applications.

3. My permit had lapsed. How do I extend the permit?

Answer: Email (DotPermits@Oaklandnet.com) to request an extension (include permit number) or stop by the permit counter at, 250 Frank Ogawa Plaza, 4th Floor. An extension fee will be due.

4. When should I provide a bond?

Answer: A performance bond is required from contractors that work for the utility company or assigned to excavate on behalf of the utility company. The bond is equal to the total project cost (minimum of \$5,000.00) which may be added as cash to the permit. Alternatively, a security bond may be submitted to the City's cashier. Cash bond and securities will be released to the applicant when permit is finalized. A processing fee will be due.

5. If my company has an annual permit, do I still need to pay permit fees?

Answer: Annual permit is for utility companies with franchise agreements and cover minor excavations and service installations (work to be completed in 24 hours). There are no additional permits required. However; the utility company must inform the utility inspector prior to the start of the work for inspections. City's inspections costs are charged separately to the utility companies on monthly basis.

6. What is an Inspection Deposit? When is it needed? How do I set one up?

Answer: An Inspection Deposit (or drawdown) account is needed for contractors working for utility companies. Since we do not have a mechanism to charge a contractor on a monthly basis (contractors are not franchises), the drawdown account allows the contractor to deposit a sum of money to pay for inspections.

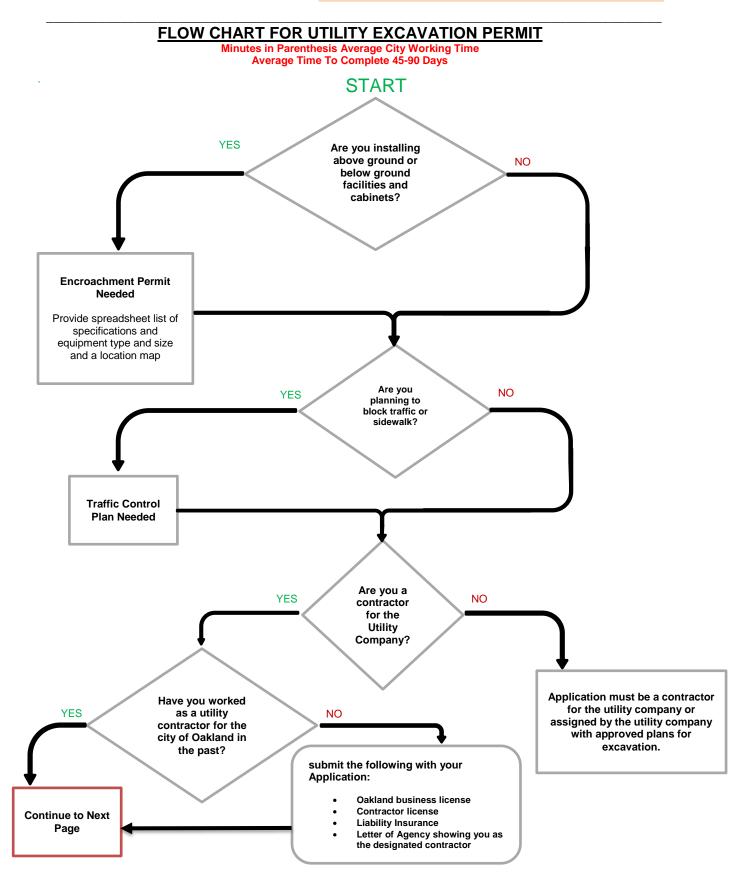


To set up an account, visit 250 Frank Ogawa Plaza, 2nd floor cashier's office.

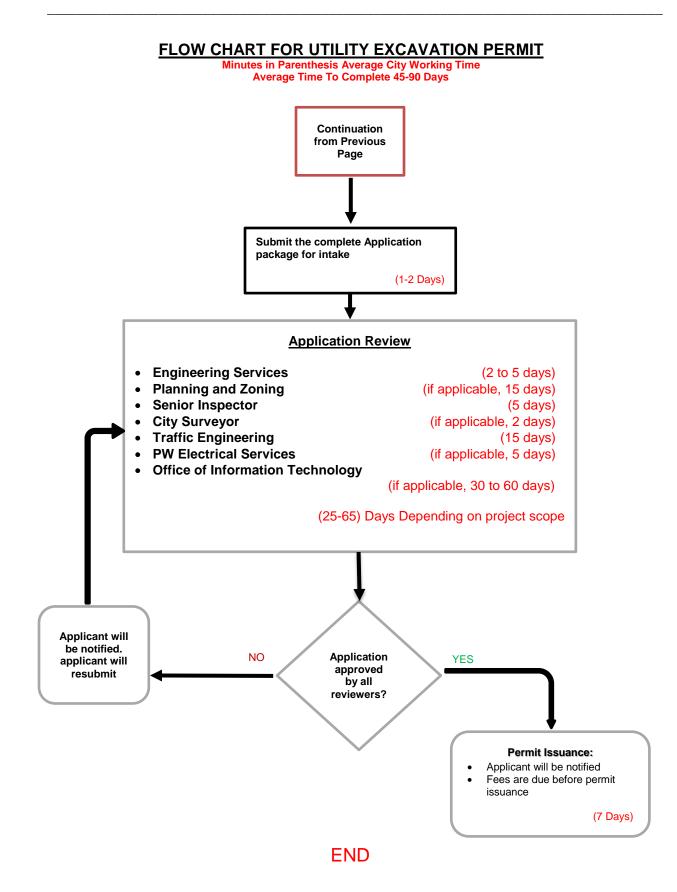
7. How much money do I need to deposit in the Inspection Deposit account?

Answer: Depending on the duration of the project, the Senior Inspector will notify the applicant of the amount of money to be deposited. Unused funds are returned to the applicant and cost over runs must be paid by the applicant prior to finalizing the permit.











HOW ARE UTILITY EXCATION PERMIT FEES CALCULATED?

The fees for a Utility Excavation Permit are calculated based upon the approved Oakland Master Fee Schedule. From Fiscal Year 2020 to 2021 Master Fee Schedule the tables below show.

UTILITY EXCAVATION (Review by Engineering Services)

No.	Description **	Amount **	
1	Permit Filing Fee	No Fee	-
2	Inspection- Normal Hours	\$183.83	Hour
3	Inspection- Outside Normal Operating Hours	\$316.05	Hour
4	Survey Services- Compliance with BPC8771 Review	\$128.18	Hour
5	Street Obstruction (parking spaces reserved for construction)	\$9.45	Per 25 ft., Per Day
6	Encroachment Permit (if applicable)	\$1,781.00	Each
7	Bond Processing Fee	\$344.00	Each
8	Permit Time Extension	\$211.05	Each
9	Permit Review- Projects Exceeding 300 ft.	\$1,257.90	Each Occurrence Exceeding 300 ft, or Actual Time Spent on Review & Approval
10	Permit Review- Projects Shorter than 300 ft.	\$454.65	Each Occurrence Shorter Than 300 ft, or Within One City Block

ADMINISTRATIVE ** Non-Refundable per Master Fee Schedule added to all permits

1	Application Fee	\$70.00	Each Permit
2	Record Management and Technology Fee	14.75%	Permit Total